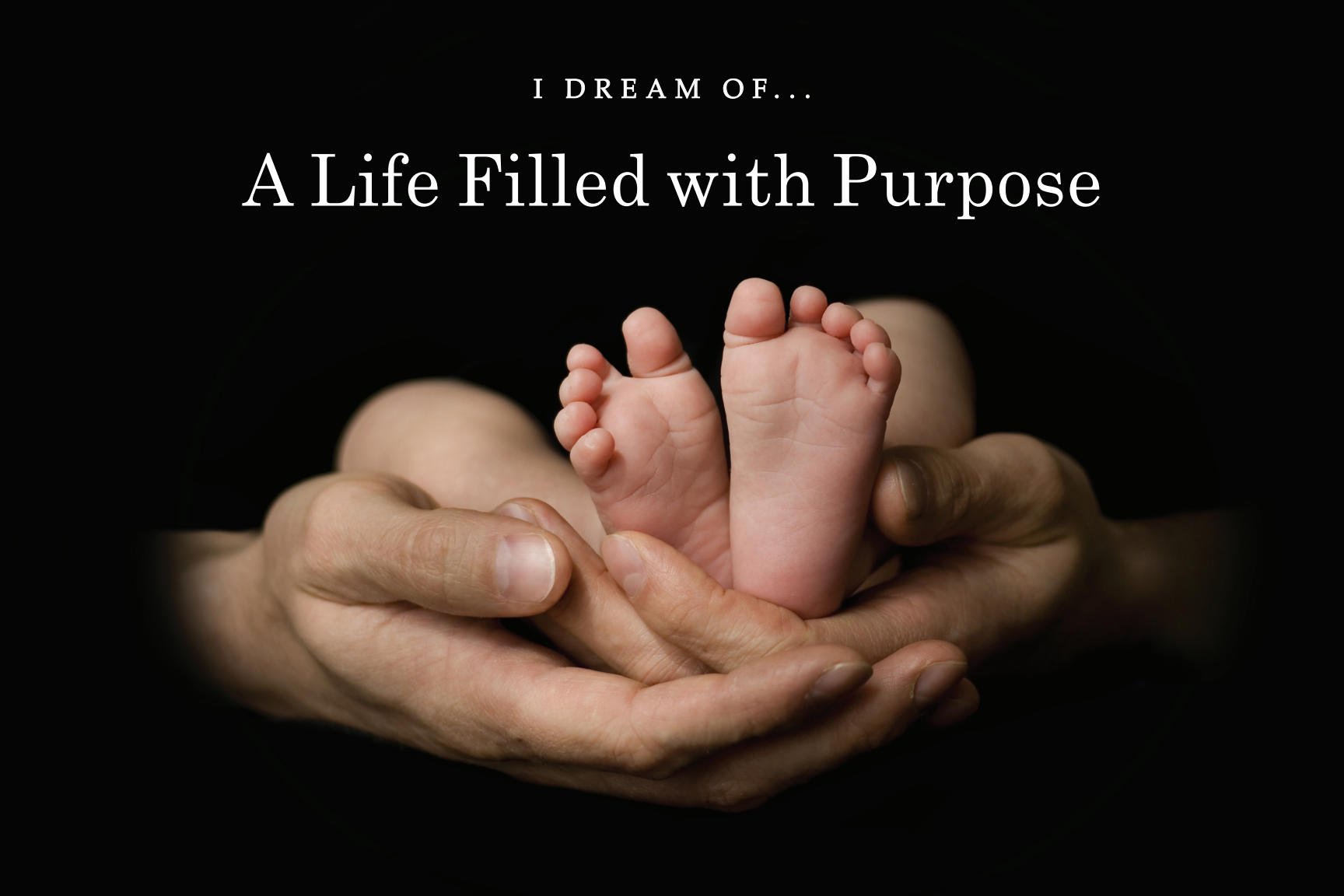

HUDOCK
CAPITAL GROUP, LLC
WEALTH PARTNERS WITH PURPOSE®
Dream Plan Live
START PLANNING YOUR DREAM

Our core purpose, our passion, is to make a positive and profound difference in the lives of our clients and in the communities we serve.

I DREAM OF...

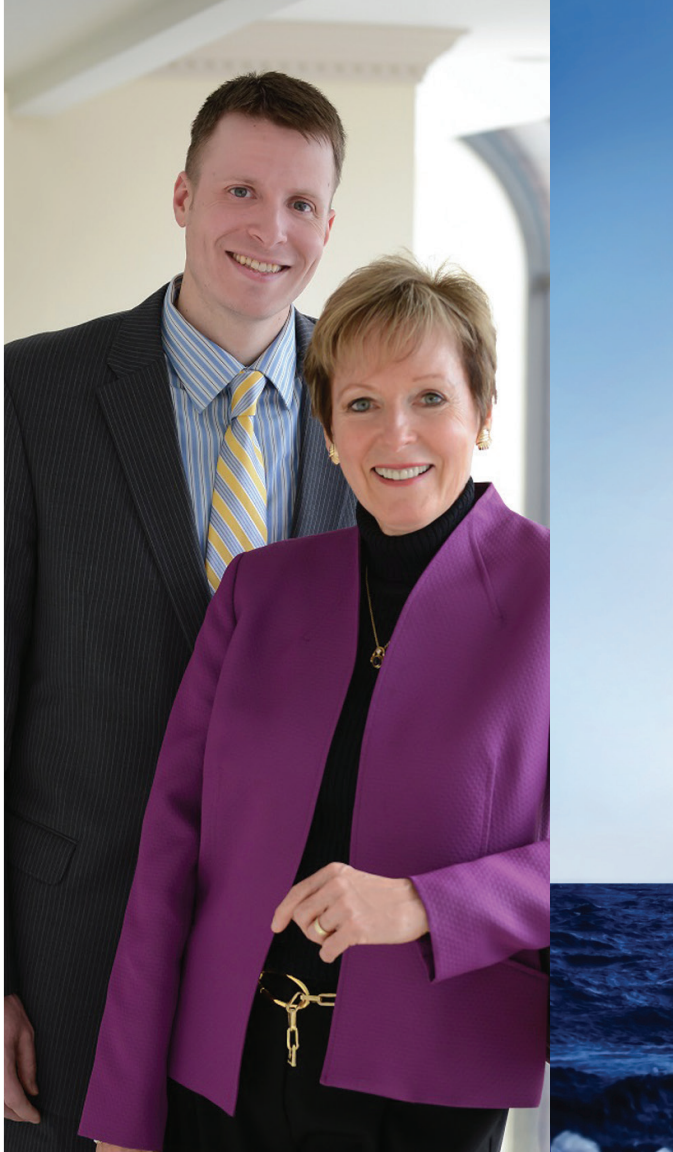
A Life Filled with Purpose



At Hudock Capital, we are invested with a deep sense of purpose — to make a positive and profound difference in the lives of our clients and in the communities we serve.

More than Financial Advisors, we are Wealth Partners. We develop a dynamic relationship with each client – one that is centered on a highly personalized and principled approach to investing with purpose. Put simply, we start with your life…and plan your money around it.

We find no greater satisfaction than in helping our clients live the lives they've imagined. This unique brand of a purpose-driven client experience is our hallmark. It is who we are and what we do.



I DREAM OF...

Making a Difference

Who We Are

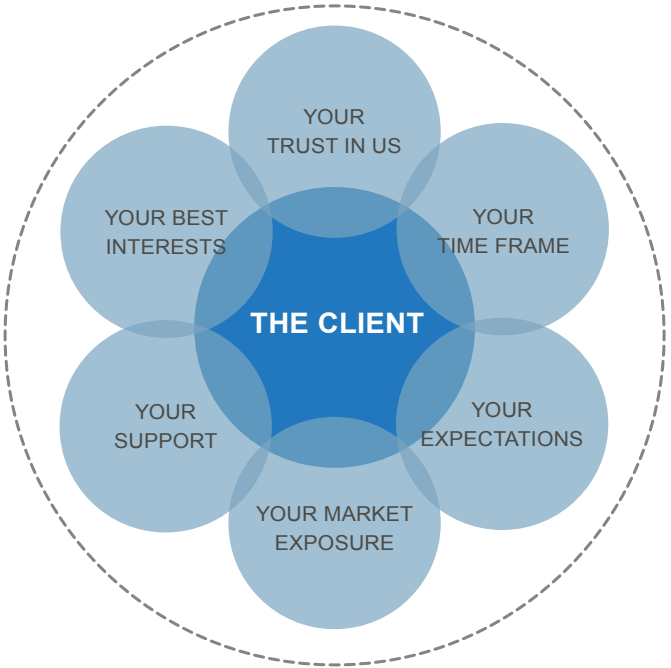
Hudock Capital is a privately-held **hybrid Registered Investment Advisor** that specializes in financial planning for high net worth individuals and successful institutions.

We deliver **a fiduciary decision-making process**. We have over 280 combined years of experience in the industry.

We believe in a highly **personalized and principled approach** to investing with purpose.

Our passion is to make a **positive and profound difference in the lives of our clients** and in the communities we serve.

It's all about the Client



Client-Centric Business Model

Our Obligation as a Fiduciary

We are registered with the Securities and Exchange Commission as a Registered Investment Advisor (RIA). By registering as an RIA, we took a principle in which we have always believed — to act in the best interests of our clients — and have confirmed it as our legal and moral obligation. As an RIA, we are held by the law to the highest standard of care — we owe a fiduciary duty to our clients.

We deliver a fiduciary decision-making process. This encourages a special level of personalized service that is not always found in investor/advisor relationships and allows our clients to benefit in ways that are not possible with non-RIA relationships.

HUDOCK CAPITAL GROUP, LLC

WEALTH PARTNERS WITH PURPOSE®

Dream

The first step toward financial security is understanding your financial goals and aspirations.

Plan

Let us know where you are in life so we can help you plan your dreams.

Live

Enjoy the benefits of your hard work and thoughtful financial planning.

We are a unique, privately-held company – valued by our clients, respected by our competitors and acknowledged as a leader by experts in our industry. An active participant in philanthropic endeavors, Hudock Capital believes in giving back to the communities we serve.

Our goal is to partner with and empower our clients to realize their dreams and financial goals by implementing dynamic strategies tailored to every stage of their lives with exceptional attention to detail and purpose.

I DREAM OF...

More Time with the Family

Our Experience

$500 MILLION

We have approximately $500 million in assets under management.[1]

95% CLIENT RETENTION

We maintained greater than 95% client retention over the past 5 years.[1]

6 PROFESSIONAL DESIGNATIONS

We hold the following certifications:

CFP® Certified Financial Planner

CPM® Certified Portfolio Manager

CIMA® Certified Investment Manager Analyst

Institute for **Preparing Heirs**®

NSSA® National Social Security Advisor

RP® Registered Paraplanner℠

[1] Assets Under Management, Client Retention Rate and Professional Designations are figures as of December 31, 2016.

TOP 50

INDUSTRY AWARDS + RECOGNITION

Ranked on the **Top 50 Emerging Advisors** list by *Forbes* magazine.[1]

Ranked on the **Top 50 Emerging RIA Leaders** list by *Financial Planning* magazine.[2]

Barron's

AMERICA'S TOP ADVISOR RANKING

Hudock Capital Group has consistently ranked among the top financial advisory firms in the country, with Barbara Hudock, CEO, ranked among the top women financial advisors in Pennsylvania.

BARRON'S

The rankings are based on data provided by over 4,000 of the nation's most productive advisors. Factors included in the rankings: assets under management, revenue produced for the firm, regulatory record, quality of practice and philanthropic work. Investment performance isn't an explicit component because not all advisors have audited results and because performance figures often are influenced more by clients' risk tolerance than by an advisor's investment-picking abilities.

[1] *Forbes 2013 Top 50 Wealth Managers Emerging RIA*. *Forbe's Magazine's Top 50 Emerging Wealth Managers* list ranked RIA's (Registered Investment Advisors) under $500 million in total assets under management (AUM) based on growth from March 31, 2011 through March 31, 2013. Advisors qualified based on quantitative and qualitative factors. [2] *Financial Planning magazine Top 50 Emerging RIAs*, January 2013.

Hudock Capital's Core Principles

Every decision we make, every action we take, is informed by these core principles:

1 **Clients' Interests Come First** — We are committed to do what is right for the client, sometimes at the expense of our own profitability.

2 **Integrity** — We pledge to always strive to do the right thing even when no one is watching.

3 **Respect for the Individual** — Everyone has substantial qualities. We honor these, indeed, with awe.

4 **Kindness** — We always remember compassion and empathy in whatever we do.

5 **Teamwork** — We work together as a team of dedicated professionals to design win/win solutions for our clients.

6 **Community Involvement** — We give back to our communities both in time and treasure, because it's the right thing to do.

7 **Continuing Education** — We continue to educate ourselves and our clients to maintain up-to-date and time-tested strategies within our industry.

8 **Commitment to Excellence** — Because we value our employees and our clients, we strive to perform at our best at all times and in all ways.

I DREAM OF...

Helping Others

Highlighted Services

Consulting

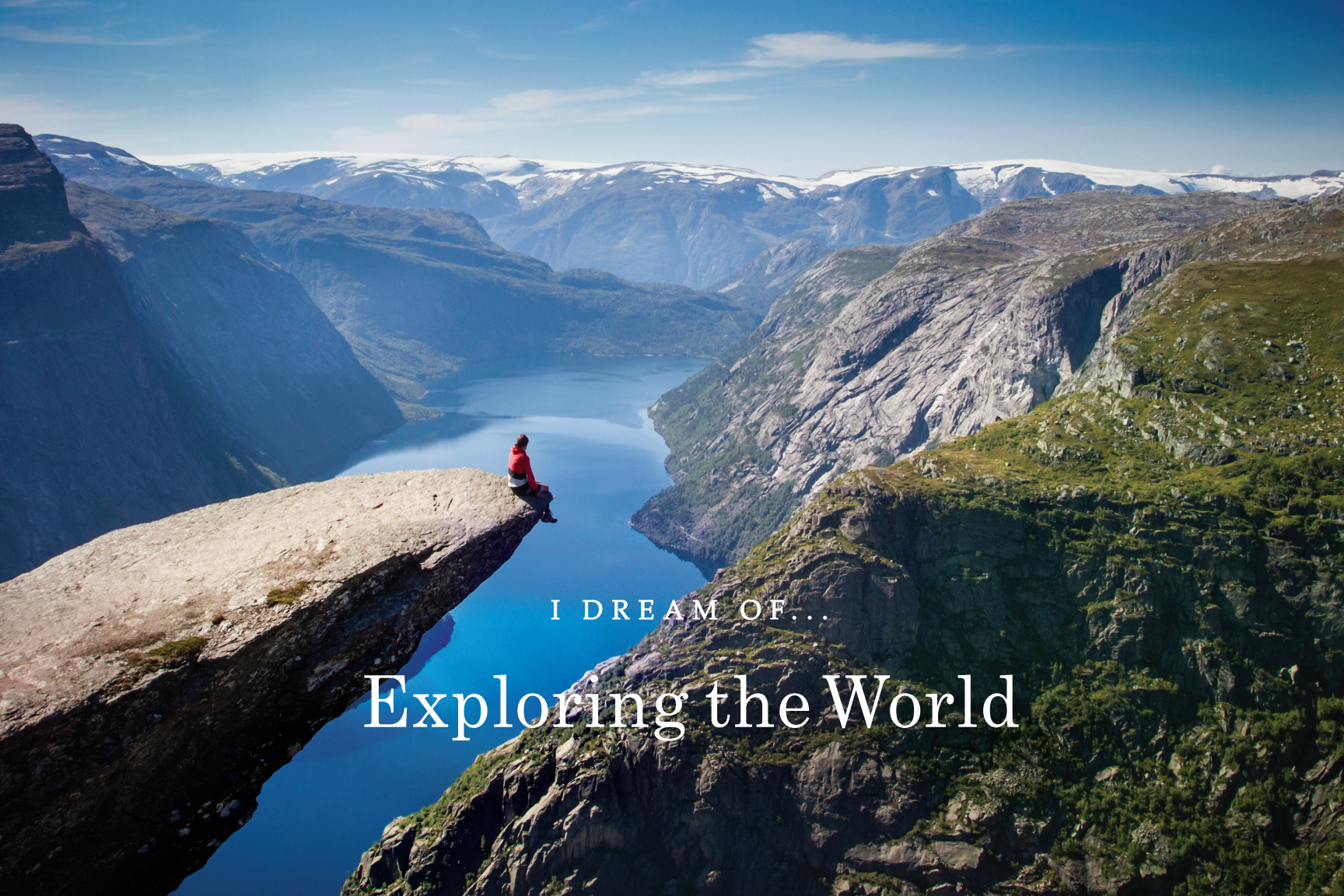
Financial & Wealth Planning
Retirement Planning
Cash Flow Management
Estate Planning
Insurance Planning
College Planning

Resources

Contact Liaison for Attorneys, Accountants, and other professionals
Bank Officers (Banking and Lending Facilitator)
Market Research and Investment Tools
Accredited Investor Private Equity Solutions

Client and Community Improvement

Educational events for clients
Client networking opportunities
Community-focused special events


I DREAM OF...
Exploring the World

"Our secret mission is that when clients walk through our doors, they're magically transported to a world that's kinder, gentler, warmer, friendlier, and where their dreams come true." — Barbara Hudock

START PLANNING YOUR DREAM

HUDOCK CAPITAL GROUP, LLC

WEALTH PARTNERS WITH PURPOSE®

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Dream Plan Live

We invite you to contact us to learn more about our unique brand of a purpose-driven client experience.